

PCH - 8 for ICSI Members

# TWO –DAYS JOINT WORKSHOP ON ROLE OF C MPLIANCE OFFICERS OF LISTED COMPANIES

26th-27th, August 2016 (Friday & Saturday)  
Vashi, Navi Mumbai

Knowledge partner:

 **THE INSTITUTE OF  
Company Secretaries of India**  
IN PURSUIT OF PROFESSIONAL EXCELLENCE  
Statutory body under an Act of Parliament

**CENTRE FOR  
CORPORATE  
GOVERNANCE,  
RESEARCH &  
TRAINING (CCGRT)**



## About NFCG

National Foundation for Corporate Governance (NFCG) was set up in Oct 2003 by the Ministry of Corporate Affairs (MCA), in partnership with Confederation of Indian Industry (CII), Institute of Company Secretaries of India (ICSI) and Institute of Chartered Accountants of India (ICAI) as a Trust to function as a not-for-profit and non-political body. In the year 2010, Institute of Cost Accountants of India (ICAI) and National Stock Exchange (NSE) and in 2013 Indian Institute of Corporate Affairs (IICA) were included in NFCG as Trustees.

NFCG has been constituted with a vision to be a catalyst in making India the best in Corporate Governance Practices through fostering a culture for promoting good governance, facilitating voluntary compliance and effective participation of different stakeholders, creating a framework of best practices, structure, processes and ethics and making significant difference to Indian Corporate Sector by raising the standard of corporate governance in India towards achieving stability and inclusive growth.

In order to achieve its vision and accomplish its task, NFCG performs the following functions:- Accredited Institutions as National Centres for Corporate Governance, Supports platform to deliberate on issues relating to good Corporate Governance, CSR and Sustainability, as the key to sustainable wealth creation; Encourages research capability in the areas of Corporate Governance, CSR and Sustainability Supports quality training to Directors / Faculty; Cultivating National and International linkages.

NFCG has, over the years, constantly been engaged in undertaking various initiatives to foster culture of good Corporate Governance practices both at the level of individual Corporates and Industry as a whole.

## About ICSI

The Institute of Company Secretaries of India (ICSI) is a premier national professional body established by an Act of Parliament (Company Secretaries Act, 1980) to develop and regulate the profession of Company Secretaries. ICSI functions under the administrative jurisdiction of Ministry of Corporate Affairs, Government of India.

**Vision:** “To be a Global leader in promoting Good Corporate Governance”

**Mission:** “To Develop High Calibre Professionals Facilitating Good Corporate Governance”.

ICSI-CCGRT is the national Centre established by the Institute of Company Secretaries of India in the year 1999 envisioning the need and creed for the Corporate Governance Research and Training requirement of the Corporate World. Here training is provided to all level of

employees from shop-floor level to Top Management Level and programs are also conducted for the professionals as well as for the company directors. CCGRT is well equipped with world class facilities for the research & training.

The Institute's Headquarters is at ICSI House, 22 Institutional Area, Lodi Road, New Delhi. The Regional Offices are at Mumbai, Kolkata, New Delhi, Chennai. Centre for Corporate Governance, Research and Training (CCGRT) is at Navi Mumbai and 69 Chapters of ICSI are located in various cities all across India with one Overseas Chapter at Dubai. It provides postal and oral coaching and training to students to qualify as Company Secretaries.

## About NISM

National Institute of Securities Markets (NISM) is a premier capacity building institution, established by the Securities and Exchange Board of India (SEBI), the regulator for securities market in India. It is located in Vashi, Navi Mumbai, India. The institute carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards of and increase the participation in the securities markets.

The institute has six schools of eminence viz.

- School for Investor Education and Financial Literacy (SIEFL)
- School for Certification of Intermediaries (SCI)
- School for Securities Information and Research (SSIR)
- School for Regulatory Studies and Supervision (SRSS)
- School for Corporate Governance (SCG)
- School for Securities Education (SSE)

### National Initiative by NISM

National Centre for Financial Education (NCFE)

## About SCG

Investor confidence in governance of public interest companies is crucial for development of Capital Markets, which provide finance to economic growth. The School for Corporate Governance (SCG) conducts various programs aimed at improving Corporate Boards' understanding of governance issues and providing them with the tools to implement quality processes, such as Ethics and Corporate Governance, Enhancing Integrity at Work and Speaking up programs for employees, Role of Compliance Officers in Securities Market, Integrating Environmental, Social and Governance (ESG) Perspective in Investment Decisions, OECD Principles of Corporate Governance, Quality of Business Responsibility Reporting Disclosures, Corporate Governance and Board Leadership for Women Directors, Directors' Colloquium, Familiarisation Programs for Directors, Performance Evaluation of Board and Directors, Research Conference.

## Introduction

The recent regulatory changes in Indian Capital Market brought out by Company's Act 2013 and Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) 2015 have paved way to the new challenges in the compliances with Securities Law and the Corporate Governance requirements which are expected to be followed in letter and spirit.

NISM along with National Foundation for Corporate Governance (NFCG) and Institute of Company Secretaries of India (ICSI) is organizing a Two days' Workshop which shall be useful for understanding and dealing with these challenges through regulator's perspectives.

The workshop shall be helpful for compliance officers of the listed companies, in understanding relevant regulatory updates and addressing the practical issues there under.

## Objective

To get an overview of recent changes in regulations and applicability of

- Companies Act 2013 and SEBI (Issue of Capital and Disclosure Requirements) Regulations 2009
- Initial and continuous disclosure requirements under SEBI (Listing Obligations and Disclosures Requirements) 2015
- Regulations on Prohibition of Insider Trading and Takeovers

## Programme Fee:

The Program fee is ₹ 5,000/- plus service tax @ 14% + Swachh Bharat Cess @ 0.50% + Krishi Kalyan Cess @ 0.50% per participant for the whole two days programme  
**(Net amount payable: ₹ 5,750/- per participant)**

## Mode of the Payment:

Demand Draft/Cheque favoring 'National Institute of Securities Markets'  
Or

**To Register & Pay Online, Visit NISM Website: [www.nism.ac.in](http://www.nism.ac.in)**

Home>Upcoming Events> Role of Compliance Officers of Listed Companies

Or

To Register & Pay Online, Click on below link:

<https://www.onlinesbi.com/prelogin/icollecthome.htm?corpID=636455>

- Corporate Governance Compliances
- Enhancing quality of disclosures under Business Responsibility Reporting

## Speakers

The Trainers for this program would include Regulators, Lawyers, Consultants and Practitioners.

## Who should attend?

Compliance officers of the listed companies, Academicians, Professionals, Analysts. ICSI members will get 8 PCH by attending the two days programme.

## Venue

Vashi, Navi Mumvai

## Timing

9:30 am to 5:30 pm

## Programme Director

**Ms. Prachi Nadkar**

Faculty, NISM

Contact No: 022-66735128

**Mr. Hruda Ranjan Sahoo**

Faculty, NISM

Contact No: 022-66735162

Mob: 9320334450

Discounts available on registration fees only, service tax shall be charged accordingly.

## Group Discount

5 or more nominations -10%

(For group nominations, payments shall be accepted only by DD/ Cheque)

## Individual Discount

For ICSI members - 20%

**Nominations may be sent by Email to: [prachi.nadkar@nism.ac.in](mailto:prachi.nadkar@nism.ac.in) | [hruda.sahoo@nism.ac.in](mailto:hruda.sahoo@nism.ac.in)**

## Patalganga Campus



## Road Ahead

NISM has initiated the construction of its state-of-the-art campus at Patalganga on the Mumbai-Pune Express Highway. Hon'ble Prime Minister of India Dr. Manmohan Singh unveiled the Commemorative Plaque symbolizing the commencement of construction of the campus at Patalganga on May 24th of 2013. The campus with the total capacity to cater to 1000 students at a time is expected to be functional in mid 2016. The campus, once functional, will reinforce NISM's goal to expand its horizons and channel the attention of students from the Asia-Pacific / ASEAN region towards the various full-time and part-time courses on offer at NISM.

NISM has entered into collaborations with international organizations to further its aspiration of becoming the leading capital markets education and training provider in Asia-Pacific / ASEAN. NISM's MoU with Securities Industry Development Corporation (SIDC), Malaysia in October, 2013 is the latest development which will advance NISM's aspiration to become a leading player in the knowledge dissemination and skills enhancement initiatives within the securities markets of emerging economies.

# NiSM

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